



Ragini Chokshi & Co.

Tel. : 022-2283 1120
022-2283 1134

Company Secretaries

34, Kamer Building, 5th Floor, 38 Cawasji Patel Street, Fort, Mumbai - 400 001.
E-mail : ragini.c@rediffmail.com / mail@csraginichokshi.com
web: csraginichokshi.com

Date : _____

Secretarial Compliance Report of National Commodity Clearing Limited for the financial year ended March 31, 2021

[Under Regulation 24A of Securities and Exchange Board of India (Listing Obligations and
Disclosure Requirements) Regulations, 2015]

We have examined:

- (a) all the documents and records made available to us and explanation provided by
NATIONAL COMMODITY CLEARING LIMITED("the Company")
- (b) the filings/ submissions made by the Company to the stock exchanges(Not Applicable)
- (c) website of the Company,
- (d) any other document/ filing, as may be relevant, which has been relied upon to make this
certification,

For the year ended March 31, 2021 ("Review Period") in respect of compliance with the provisions
of:

- (a) The Securities and Exchange Board of India Act, 1992 ("SEBI Act") and the Regulations,
circulars, guidelines issued thereunder; and
- (b) the Securities Contracts (Regulation) Act, 1956 ("SCRA"), rules made thereunder and the
Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board
of India ("SEBI");

The specific Regulations, whose provisions and the circulars/guidelines issued thereunder, have
been examined, include:

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements)
Regulations, 2015; (to the extent applicable to a recognised Clearing Corporation as
prescribed under Securities Contract (Regulations) (Stock Exchange and Clearing
Corporation) Regulations, 2018 (SECC Regulations,2018)
- (b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements)
Regulations, 2018; (**Not Applicable to the Company during the Review Period**)





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- (c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011; **(Not Applicable to the Company during the Review Period)**
- (d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018; **(Not Applicable to the Company during the Review Period)**
- (e) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014; **(Not Applicable to the Company during the Review Period)**
- (f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008; **(Not Applicable to the Company during the Review Period)**
- (g) Securities and Exchange Board of India (Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations, 2013; **(Not Applicable to the Company during the Review Period)**
- (h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015; **(Not Applicable to the Company during the Review Period)**
- (i) The Securities Contract (Regulations) (Stock Exchange and Clearing Corporation) Regulations, 2018 and circulars/ guidelines issued thereunder. (SECC Regulations)

and based on the above examination, We hereby report that, during the Review Period:

- (a) The Company has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder, except in respect of matters specified below:-

Sr.No	Compliance Requirement (Regulations/circulars/guidelines including specific clause)	Deviations	Observations/ Remarks of the Practicing Company Secretary
There are no such matters observed during the Review Period			

- (b) The Company has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder in so far as it appears from my/our examination of those records.
- (c) The following are the details of actions taken against the Company/its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under





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the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/Regulations and circulars/guidelines issued thereunder:

Sr. No	Action taken by	Details of violation	of	Details of actions taken E.g. fines, warning letter, debarment, etc	Observations/ remarks of the Practicing Company Secretary
None					

(d) The Company has taken the following actions to comply with the observations made in previous reports:

Sr.No	Observations of the Practicing Company Secretary in the previous reports	Observations made in the secretarial compliance report for the year ended... (The years are to be mentioned)	Actions taken by the listed entity, if any	Comments of the Practicing Company Secretary on the actions taken by the listed entity
Not Applicable, since there were no observations in the previous report for the financial year ended 31-03-2020				

(e) The Company has complied with the points 6(A) and 6 (B) as mentioned in SEBI No. CIR/CFD/CMD1/114/2019 dated October 18, 2019 and that they have incorporated all the terms and conditions in the respective appointment letter/ supplemental letter issued to Statutory Auditors.

Date: 11-05-2021
Place: Mumbai



FOR RAGINI CHOKSHI & CO

UMASHANKAR HEGDE
(PARTNER)

M.No: A22133 # CP No- 11161
UDIN: A022133C000272108